

**UNITED STATES COURT OF APPEALS
FOR THE ELEVENTH CIRCUIT**

CERTIFICATE OF INTERESTED PERSONS

Pursuant to Federal Rule of Appellate Procedure 26.1 and 11th Circuit Rule 26.1-1, Petitioner Citadel Securities LLC provides the following certificate of interested persons:

The undersigned counsel of record certifies that the following listed persons and entities as described in 11th Circuit Rule 26.1-2 have an interest in the outcome of this case. These representations are made in order that the judges of this Court may evaluate possible disqualification or recusal.

1. **Barbero, Megan**, counsel for Respondent United States Securities and Exchange Commission.
2. **Citadel Securities GP LLC**, parent company of Petitioner Citadel Securities LLC.
3. **Citadel Securities LLC**, Petitioner.
4. **Conley, Michael A.**, counsel for Respondent United States Securities and Exchange Commission.
5. **Francisco, Noel J.**, Jones Day, counsel for Petitioner Citadel Securities LLC.
6. **Hardin, Tracey A.**, counsel for Respondent United States Securities and Exchange Commission.
7. **Hoyt, Joshua T.**, Jones Day, counsel for Petitioner Citadel Securities LLC.
8. **Jones Day**, counsel for Petitioner Citadel Securities LLC.
9. **Lucas, Brinton**, Jones Day, counsel for Petitioner Citadel Securities LLC.
10. **Matro, Daniel E.**, counsel for Respondent United States Securities and Exchange Commission.

11. **Rabbitt, Brian C.**, Jones Day, counsel for Petitioner Citadel Securities LLC.
12. **Roth, Yaakov M.**, Jones Day, counsel for Petitioner Citadel Securities LLC.
13. **United States Securities and Exchange Commission**, Respondent.

No publicly traded company or corporation has an interest in the outcome of the case. Petitioner will file an amended certificate of interested persons should it become aware of a change in interests that would affect the disclosures as required by Federal Rule of Appellate Procedure 26.1 and 11th Circuit Rule 26.1-4.

Dated: July 19, 2024

Respectfully submitted,

/s/ Noel J. Francisco

Noel J. Francisco

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CORPORATE DISCLOSURE STATEMENT

Pursuant to Federal Rule of Appellate Procedure 26.1 and 11th Circuit Rule 26.1-2, the undersigned counsel of record hereby certifies that Petitioner Citadel Securities LLC is a wholly owned, indirect subsidiary of Citadel Securities GP LLC. Counsel further certifies that no publicly held corporation has a 10% or greater ownership interest in Citadel Securities GP LLC.

Dated: July 19, 2024

Respectfully submitted,

/s/ Noel J. Francisco

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